The Scientific Method

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Errors

What’s the error in the sample program?

• An error is a deviation from what’s correct, right, or true. *(IEEE glossary)*

To prove that something is an error, we must **show the deviation**:

• *Simple* for failures, *hard* for the program

Where does sample.c deviate from – what?
Causes and Effects

What’s the cause of the sample failure?

• The *cause* of any event ("effect") is a preceding event without which the effect would not have occurred.

To prove causality, one must show that

• the effect occurs when the cause occurs
• the effect does *not* occur when the cause does not.
Establishing Causality

In natural and social sciences, causality is often hard to establish.

• Did drugs cause the death of Elvis?
• Does CO$_2$ production cause global warming?
• Did Saddam Hussein cause the war in Iraq?
Repeating History

- To determine causes formally, we would have to *repeat history* – in an alternate world that is as close as possible to ours.
- Since we cannot repeat history, we have to *speculate* what *would* have happened.
- Some researchers have suggested to drop the concept of causality altogether.
Repeating Runs

In computer science, we are luckier:

- Program runs can be controlled and repeated at will
  (well, almost: physics can’t be repeated)

- Abstraction is kept to a minimum – the program is the real thing.
“Here’s the Bug”

• Some people are good at guessing causes!
• Unfortunately, intuition is hard to grasp:
  • Requires *a priori* knowledge
  • Does not work in a systematic and reproducible fashion
The Scientific Method

- The *scientific method* is a general pattern of how to find a *theory* that explains (and predicts) some aspect of the universe
- Called “scientific method” because it’s supposed to summarize the way that (experimental) scientists work
The Scientific Method

1. Observe some aspect of the universe.
2. Invent a hypothesis that is consistent with the observation.
3. Use the hypothesis to make predictions.
4. Tests the predictions by experiments or observations and modify the hypothesis.
5. Repeat 3 and 4 to refine the hypothesis.
A Theory

• When the hypothesis explains all experiments and observations, the hypothesis becomes a theory.

• A theory is a hypothesis that
  • explains earlier observations
  • predicts further observations

• In our context, a theory is called a diagnosis
  (Contrast to popular usage, where a theory is a vague guess)
Mastermind

• A Mastermind game is a typical example of applying the scientific method.

• Create hypotheses until the theory predicts the secret.
Scientific Method

Hypothesis

Prediction

Experiment

Observation + Conclusion

Hypothesis is supported: refine hypothesis

Hypothesis is rejected: create new hypothesis

Diagnosis

Problem Report

Code

Run

More Runs

Conclusion
A Sample Program

$ sample 9 8 7
Output: 7 8 9

$ sample 11 14
Output: 0 11

Let’s use the scientific method to debug this.
### Initial Hypothesis

<table>
<thead>
<tr>
<th>Hypothesis</th>
<th>&quot;sample 11 14&quot; works.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prediction</td>
<td>Output is &quot;11 14&quot;</td>
</tr>
<tr>
<td>Experiment</td>
<td>Run sample as above.</td>
</tr>
<tr>
<td>Observation</td>
<td>Output is &quot;0 11&quot;</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Hypothesis is rejected.</td>
</tr>
</tbody>
</table>
int main(int argc, char *argv[]) {
    int *a;
    int i;

    a = (int *)malloc((argc - 1) * sizeof(int));
    for (i = 0; i < argc - 1; i++)
        a[i] = atoi(argv[i + 1]);

    shell_sort(a, argc);

    printf("Output: ");
    for (i = 0; i < argc - 1; i++)
        printf("%d ", a[i]);
    printf("\n");

    free(a);

    return 0;
}
Hypothesis 1: a[]

<table>
<thead>
<tr>
<th>Hypothesis</th>
<th>The execution causes a[0] = 0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prediction</td>
<td>At Line 37, a[0] = 0 should hold.</td>
</tr>
<tr>
<td>Experiment</td>
<td>Observe a[0] at Line 37.</td>
</tr>
<tr>
<td>Observation</td>
<td>a[0] = 0 holds as predicted.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Hypothesis is confirmed.</td>
</tr>
</tbody>
</table>
static void shell_sort(int a[], int size)
{
    int i, j;
    int h = 1;
    do {
        h = h * 3 + 1;
    } while (h <= size);
    do {
        h /= 3;
        for (i = h; i < size; i++)
        {
            int v = a[i];
            for (j = i; j >= h && a[j - h] > v; j -= h)
                a[j] = a[j - h];
            if (i != j)
                a[j] = v;
        }
    } while (h != 1);
}
Hypothesis 2: `shell_sort()`

<table>
<thead>
<tr>
<th>Hypothesis</th>
<th>The infection does not take place until <code>shell_sort</code>.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prediction</td>
<td>At Line 6, <code>a[] = [11, 14]; size = 2</code></td>
</tr>
<tr>
<td>Experiment</td>
<td>Observe <code>a[]</code> and size at Line 6.</td>
</tr>
<tr>
<td>Observation</td>
<td><code>a[] = [11, 14, 0]; size = 3.</code></td>
</tr>
<tr>
<td>Conclusion</td>
<td>Hypothesis is rejected.</td>
</tr>
</tbody>
</table>
Hypothesis 3: size

<table>
<thead>
<tr>
<th>Hypothesis</th>
<th>size = 3 causes the failure.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prediction</td>
<td>Changing size to 2 should make the output correct.</td>
</tr>
<tr>
<td>Experiment</td>
<td>Set size = 2 using a debugger.</td>
</tr>
<tr>
<td>Observation</td>
<td>As predicted.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Hypothesis is confirmed.</td>
</tr>
</tbody>
</table>
Fixing the Program

```c
int main(int argc, char *argv[])
{
    int *a;
    int i;

    a = (int *)malloc((argc - 1) * sizeof(int));
    for (i = 0; i < argc - 1; i++)
        a[i] = atoi(argv[i + 1]);

    shell_sort(a, argc);  // 1);

    ...  // $ sample 11 14
    Output: 11 14
}
```
**Hypothesis 4: argc**

<table>
<thead>
<tr>
<th>Hypothesis</th>
<th>Invocation of <code>shell_sort</code> with size = argc causes the failure.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prediction</td>
<td>Changing argc to argc - 1 should make the run successful.</td>
</tr>
<tr>
<td>Experiment</td>
<td>Change argc to argc - 1 and recompile.</td>
</tr>
<tr>
<td>Observation</td>
<td>As predicted.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Hypothesis is confirmed.</td>
</tr>
</tbody>
</table>
The Diagnosis

- **Cause is** “Invoking shell_sort() with argc”
- **Proven by two experiments:**
  - Invoked with argc, the failure occurs;
  - Invoked with argc – 1, it does not.
- **Side-effect:** we have a fix
  (Note that we don’t have correctness – but take my word)
Explicit Debugging

• Being *explicit* is important to understand the problem.

• Just *stating* the problem can already solve it.
Keeping Track

• In a Mastermind game, all hypotheses and observations are explicit.

• Makes playing the game much easier.
Implicit Debugging

- Remember your last debugging session: Did you write down hypotheses and observations?
- Not being explicit forces you to keep all hypotheses and outcomes in memory
- Like playing Mastermind in memory
Keep a Notebook

Everything gets written down, formally, so that you know at all times

• where you are,
• where you've been,
• where you're going, and
• where you want to get.

Otherwise the problems get so complex you get lost in them.
Quick and Dirty

• Not every problem needs the strength of the scientific method or a notebook – a quick-and-dirty process suffices.

• Suggestion: Go quick and dirty for 10 minutes, and then apply the scientific method.
Algorithmic Debugging

Defect

Is this correct? Is this correct? Is this correct?
Algorithmic Debugging

1. Assume an incorrect result R with origins $O_1, O_2, \ldots, O_n$
2. For each $O_i$, enquire whether $O_i$ is correct
3. If some $O_i$ is incorrect, continue at Step 1
4. Otherwise (all $O_i$ are correct), we found the defect
def insert(elem, list):
    if len(list) == 0:
        return [elem]
    head = list[0]
    tail = list[1:]
    if elem <= head:
        return list + [elem]
    return [head] + insert(elem, tail)

def sort(list):
    if len(list) <= 1:
        return list
    head = list[0]
    tail = list[1:]
    return insert(head, sort(tail))
sort([2, 1, 3]) = [2, 3, 1] ❌

sort([1, 3]) = [3, 1] ❌

sort([3]) = [3] ✔

insert(1, [3]) = [3, 1] ❌

insert(2, [3, 1]) = [2, 3, 1] ❌

Is this correct?

Is this correct?

Is this correct?
Defect Location

- `insert()` produces an incorrect result and has no further origins:
- It must be the source of the incorrect value

```
insert(1, [3]) = [3, 1] ✗
```
def insert(elem, list):
    if len(list) == 0:
        return [elem]
    head = list[0]
    tail = list[1:]
    if elem <= head:
        return list + [elem]
    return [head] + insert(elem, tail)

def sort(list):
    if len(list) <= 1:
        return list
    head = list[0]
    tail = list[1:]
    return insert(head, sort(tail))
Discussion

- Detects defects systematically
- Works naturally for logical + functional computations
- Won’t work for large states (and imperative computations)
- Do programmers like being driven?